

# OCTOBER 2022

## BEST EXECUTION REPORT

### Part 1: Top five entities used for the transmission or placing of investment orders

Retail clients are recommended our service “discretionary managed model portfolio solutions” via financial intermediaries (Financial Advisers).

The discretionary managed model portfolio service is currently available on 14 platforms. Therefore, all investment orders are sent to those platforms who in turn place the order in compliance with their own Best Execution policies. The platforms best execution policies can be sourced on their public websites. Information on platform availability can be found at the below link:

<https://tavistockam.com/wp-content/uploads/2022/10/Model-Portfolio-Availability.pdf>

We are obliged to disclose on an annual basis the top five investment firms that we transmit our orders to. The tables below relate to the period 31st December 2020 to 31st December 2021.

Class of investment - For all Discretionary investments in the model portfolios we send orders to platforms (venues) to execute the orders.		
Top 5 venues ranked in terms of volume in descending order	Percentage transmitted to venue as a proportion of total monetary value of trades	Percentage transmitted to venue as a proportion of total number of trades
Aviva Platform LEI - 2138008EY5V6719UY420	42.44%	42.44%
Standard Life Platform LEI – 549300R6JDOZTOUPKM60	15.10%	15.10%
Novia Platform LEI - 213800PBPMC23C4GZS83	14.31%	14.31%
Praemium Platform LEI - 213800ZRZLK2WDBS1B37	11.28%	11.28%
The Tavistock Platform - 213800NWC2OPONC6VB70	4.36%	4.36%

### Part 2: Monitoring and Review

We have entered into contracts with our trading partners in order to provide our service. Due diligence is conducted by both parties to confirm acceptance of the roles to be carried out. We also have a best execution policy. Please ask, if you would like to view a copy of our policy. Under the terms of our policy, we regularly assess the appropriateness of our trading partners to ensure compliance.

We undertake periodic monitoring to make sure that they continue to meet our requirements. This review is carried out at least annually or whenever a significant change occurs that we feel could affect our ability to continue to obtain the best possible results.

As clients are recommended our service via financial intermediaries and all clients are classed as Retail clients.

Should you require any additional information please write to:

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Or alternatively email [investments@tavistockam.com](mailto:investments@tavistockam.com)

5th October 2022